

CONFIDENTIAL
Seattle University
Financial Conflict of Interest Annual Disclosure Form

This form is confidential and may be reviewed only by the appropriate Department Head/Director/Dean and others as designated in the SU Financial Conflict of Interest policy. Originals are submitted to the Office of Research Services and Sponsored Projects. Copies may be made only by the signer and by the Office of Research Services and Sponsored Projects, and originals and copies maintained only in their respective filing systems.

PLEASE PRINT

Name:	Disclosure Date: Report for the 12-month period prior to date of submission
Rank/Title:	SU ID #:
School or College:	FTE:
Department:	
Email:	Campus Phone:

Question: In the last 12 months did you or your family member, alone or in combination, have an outside interest in any asset of potential monetary value (e.g., intellectual property rights, patents, royalties, etc.) that is or may be related to my institutional responsibilities? "Family member" means spouse, domestic partner and/or dependent children.

Question: In the last 12 months, did you or your family member, alone or in combination, have significant financial interest in an entity that:

- has *products, services, technology or research interests* that could reasonably appear to be affected by your research or sponsored program
- has *made or pledged a gift to the Seattle University* that benefits your research or sponsored program,
- *sponsors your research or your program,*
- *sells goods or services to the University that will be used in your research or sponsored program, or*
- has *another involvement in your research or sponsored program (such as a consulting agreement)?*

A significant financial interest involves:

- (a) receiving compensation during the year over \$5,000 or
- (b) having an equity interest valued at over \$5,000 in a publicly traded entity or
- (c) having an equity interest of any value in a non-publicly traded entity.

(This does not include 'indirect' equity interest or ownership through mutual funds.)

Question: In the last 12 months was your travel reimbursed or sponsored other than by a government entity, institution of higher education, medical center or related entity related to your institutional responsibilities?

_____ **NO TO ALL QUESTIONS.** Your disclosure is complete. Please sign and submit this form.

_____ **YES TO ANY QUESTION.** Sign this form **and** complete a FCOI Disclosure Attachment for *each* external entity in which there is a significant financial interest or for each asset in which you have an interest. Submit all forms together. The FCOI Disclosure Attachment may be found at <http://www.seattleu.edu/grants/Inner.aspx?id=64883>

I agree to abide by Seattle University's Financial Conflict of Interest policy. In submitting this form and disclosure attachments, if required, I certify that the information provided is true to the best of my knowledge. I supply this information for confidential review by Seattle University, and for such other limited purposes and disclosures as are required by law, regulation, or contract. I do not authorize release of any of it for any other purpose. I understand and agree that if there is a material change (an acquisition of a significant financial interest) to this information, I must submit a new disclosure and attachment within 30 days of that change.

Signature: _____ Date: _____

SUBMIT THIS FORM: Via Campus Mail/U.S. Mail: Office of Research Services and Sponsored Projects, Seattle University, PO Box 222000, Seattle, WA 98122.

Via Email: Send to ORSSP@seattleu.edu. **If you choose to submit via email**, please be advised that email is not a secure or confidential communication medium. By submitting your FCOI form by email, you acknowledge that the University cannot guarantee the security or confidentiality of the email, and you assume all risk of loss.